

<b>Meeting: Primary Care Commissioning Committee</b>			
<b>Meeting Date</b>	22 May 2019	<b>Action</b>	Receive
<b>Item No.</b>	11	<b>Confidential</b>	No
<b>Title</b>	Primary Care Risk Report		
<b>Presented By</b>	Margaret O'Dwyer, Director of Commissioning and Business Delivery		
<b>Author</b>	Lynne Byers, Risk Manager		
<b>Clinical Lead</b>	-		

### Executive Summary

Risk management provides a systematic and consistent integrated framework through which the CCG's strategic objectives are pursued. This involves the identification of risks, threats and opportunities to achieving those objectives and taking steps to mitigate, manage and control the associated risks to delivery. This paper includes those risks assigned to the Primary Care Commissioning Committee (PCCC) in line with the Risk Management Strategy.

The report provides narrative on those risks which have been reviewed in the reporting period and specifically includes:

- risks which have no reported change in score;
- risks that have reached their target level;
- risks which have reduced in score;
- risks which have increased in score;
- risks that are proposed for closure; and
- new risks included on the register for the first time.

There are 37 risks being monitored across the organisation of which 1 is the responsibility of the Primary Care Commissioning Committee. Of the 37 total:

- 15 are strategic risks and are reported through the GBAF, 0 of which are included in the PCCC Risk Report <sup>1</sup>; and
- 22 are operational risks and reported through the CCG risk register, 1 of which is included in the PCCC Risk Report.

In respect to the one risk included on the Primary Care Commissioning Committee risk register, the Committee is reminded that this risk was last reviewed in January 2019 and is not due to be reviewed again until January 2020.

### Recommendations

It is recommended that the Primary Care Commissioning Committee:

- receive the risk report;
- note the risks on the risk register as reflected in Appendix A;

<sup>1</sup> Represents 2018/19 GBAF position. Final review for 2019/20 GBAF awaited.

- note that this risk was last reviewed in January 2019 and is not due to be reviewed again until January 2020;
- note the summary position; and
- note that no risks from the PCCC risk register are reported onto the Corporate Risk Register.

### Links to CCG Strategic Objectives

To encourage people so that they want to, and do, take responsibility for their own health and well-being.	<input type="checkbox"/>
To drive and support system wide transformation.	<input type="checkbox"/>
To commission joined-up health and social care for people in Bury through a Single Commissioning Framework.	<input type="checkbox"/>
To achieve financial sustainability for the Bury health and social care economy.	<input type="checkbox"/>
To support the Locality Care Alliance to deliver high quality services in line with commissioner intentions.	<input type="checkbox"/>
To be a high-performing, well-run and respected organisation with an empowered workforce	<input checked="" type="checkbox"/>
Does this report seek to address any of the risks included on the Governing Body Assurance Framework? If yes, state which risk below:	No

### Implications

Are there any quality, safeguarding or patient experience implications?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input type="checkbox"/>
These will be addressed through management of the risks						
Has any engagement (clinical, stakeholder or public/patient) been undertaken in relation to this report?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Have any departments/organisations who will be affected been consulted ?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Are there any conflicts of interest arising from the proposal or decision being requested?	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	N/A	<input type="checkbox"/>
Are there any financial Implications?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input type="checkbox"/>
These will be addressed through management of the risks						
Has a Equality, Privacy or Quality Impact Assessment been completed?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Is a Equality, Privacy or Quality Impact Assessment required?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>

Are there any associated risks including Conflicts of Interest?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input checked="" type="checkbox"/>
Are the risks on the CCG's risk register?	Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	N/A	<input type="checkbox"/>
The risks are articulated within the report and managed through the respective committees as appropriate						

Governance and Reporting		
Meeting	Date	Outcome

# Primary Care Commissioning Risk Report

## 1. Introduction

- 1.1. This report provides an updated position in respect of those risks that have been identified, assessed and categorised as having a potential impact on the CCG in relation to Primary Care.
- 1.2. The report presents the risk position and status as at **07 May 2019**.

## 2. Background

- 2.1. The Risk Register at **Appendix A** captures all risks, irrespective of risk level, that have been categorised by the risk owner with the potential to impact on the areas of responsibility of the Committee.
- 2.2. There are 37 risks being monitored across the organisation of which 1 is the responsibility of the Primary Care Commissioning Committee (PCCC). Of the 37 total:
  - 15 are strategic risks and are reported through the GBAF, 0 of which are included in the PCCC Risk Report<sup>2</sup> ; and
  - 22 are operational risks and reported through the CCG risk register, 1 of which is included in the PCCC Risk Report.
- 2.3. An assessment of each risk is undertaken by the Risk Owner and validated by the Risk Manager, on a schedule specific and appropriate to each risk, with any changes or progress being recorded and outlined within the report. The responsible committee has an obligation for reviewing the risk, ensuring it is reflective and making any amends as necessary.
- 2.5. This report includes open risks, irrespective of risk score for risks assigned to this Committee and any risks that are the responsibility of the Primary Care Workstream, which, have a current risk level of 15 or more, and any risks which have been recommended for closure. It is the Committee's responsibility to oversee these risks, seek assurance that appropriate controls are in place to manage the risks and that actions are being implemented to further reduce the risk and achieve the target risk score.
- 2.4. The Committee is able to request that further risks are added to the register through the course of its work.

## 3. Risk Review

- 3.1. This section of the report provides a commentary on those risks which have been reviewed during the reporting month, and starts with a commentary on risks which have been reviewed during the reporting period where there has been no change in

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<sup>2</sup> Represents 2018/19 GBAF position. Final review for 2019/20 awaited.

the risk score.

- 3.2 There is one risk included on the Primary Care Commissioning Committee risk register, however the Committee is reminded that this is reviewed on an annual basis, with the last review completed in January 2019 and the next risk assessment scheduled during January 2020.

#### **Risks with no reported change**

- 3.3 During this reporting period **0** risks have remained unchanged.

#### **Risks that have reached their target level**

- 3.4 During this reporting period **0** risks have reached their target score.

#### **Risks that have reduced in score**

- 3.5 During this reporting period **0** risks have reduced in score.

#### **Risks that have increased in score**

- 3.6 During this reporting period **0** risks have increased in score.

#### **Risks recommended for closure**

- 3.7 During this reporting period **0** risks have been recommended for closure by the risk owner.

#### **New Risks**

- 3.8 During this reporting period **0** new risks have been added to the risk register.

#### **Risks that have not been reviewed in the reporting period**

- 3.9 During this reporting period **0** risks have not been reviewed.

#### **Risks that will be reported through the Corporate Risk Register**

- 3.10 The Corporate Risk Register details risks which are scored at 15 or above (excluding the GBAF). The Primary care Committee risk register contains **0** risks which has been scored at this level or higher

#### **Risk Summary**

- 3.11 The following summary is provided of the Primary Care Commissioning Committee Risk Register:

	<b>Apr/May</b>	<b>Apr/May %</b>
Total Risks on Report	<b>1</b>	
New Risks	0	0.0%
Risks reduced since last report	0	0.0%
Risks increased since last report	0	0.0%
Risk that have reached target level	1	100.0%
Low Risks (1-3)	0	0.0%
Medium Risks (4-6)	1	100.0%
High Risks (8-12)	0	0.0%
Significant Risks (15-25)	0	0.0%
Risks reviewed in this period (April / May)	0	0.0%
Risks yet to be reviewed (April / May)	0	0.0%

Risks to be reviewed for next report ( June / July)	0	0.0%
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## 4 Recommendations

4.1 The Primary Care Commissioning Committee is asked to:

- receive the risk report;
- note the risks on the risk register as reflected in Appendix A;
- note that this risk was last reviewed in January 2019 and is not due to be reviewed again until January 2020;
- note the summary position; and
- note that no risks from the PCCC risk register are reported onto the Corporate Risk Register.

**Lynne Byers**  
Risk Manager  
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May 2019

## Appendix A: Primary Care Commissioning Committee Risk Register: Summary

Risk Management	Risk Id	Risk Description	Date Risk Identified	Original Risk Score	Risk Last Reviewed	Current Risk Score	Target Risk Score	Direction of Travel	Next Risk Review
CCG	OR_BI_09	Uplands Health Centre - Existing Infrastructure	23-Aug-2012	20	15-Jan-2019	4	4	➔	Jan-2020